

# ROSSELL INDIA LIMITED



24<sup>th</sup> June, 2021

The Department of Corporate Services BSE Limited Ground Floor, P. J. Towers Dalal Street, Fort Mumbai – 400 001 Scrip ID : ROSSELLINDIA Scrip Code : 533168	National Stock Exchange of India Ltd. Listing Department, Exchange Plaza, Bandra-Kurla Complex Bandra (E), Mumbai – 400 051 Symbol: ROSSELLIND	The Secretary , Calcutta Stock Exchange Limited 7, Lyons Range Kolkata – 700 001
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Dear Sirs,

Sub.: **Annual Secretarial Compliance for the financial year ended 31<sup>st</sup> March, 2021**

In terms of Clause 3.b of SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 8<sup>th</sup> February, 2019, we enclose the Annual Secretarial Compliance Report dated 24<sup>th</sup> June, 2021 issued by M/s. A. K. Labh & Co. Company Secretaries for the financial year ended 31<sup>st</sup> March, 2021.

This is for your kind information and records.

Yours faithfully,  
For **ROSSELL INDIA LTD.**

(N. K. KHURANA)  
**DIRECTOR (FINANCE) AND  
COMPANY SECRETARY**

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REGISTERED OFFICE : JINDAL TOWERS, BLOCK 'B', 4TH FLOOR, 21/1A/3, DARGA ROAD, KOLKATA - 700 017  
CIN : L01132WB1994PLC063513, WEBSITE : [www.rosselliindia.com](http://www.rosselliindia.com)  
TEL. : 91 33 2283 4318, 4061-6082, 6083, 6069, FAX : 91 33 2290 3035, E-mail : [corporate@rosselltea.com](mailto:corporate@rosselltea.com)

## A. K. LABH

FCS, ACMA (ICAI), MBA, M.Com., ACSI (Lond)  
DIM, DHRD, PGHDSM, DIRPM  
Practicing Company Secretary



## A. K. LABH & Co.

**Company Secretaries**

40, Weston Street, 3rd Floor, Kolkata - 700 013

☎ (033) 2221-9381, Fax : (033) 2221-9381

Mobile : 98300-55689

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Website : www.aklabh.com

### Secretarial Compliance Report of Rossell India Limited for the year ended 31<sup>st</sup> March, 2021

We, A. K. Labh & Co., *Company Secretaries*, have examined:

- (a) all the documents and records made available to us and explanation provided by **Rossell India Limited** (CIN : L01132WB1994PLC063513) having its Registered Office at "Jindal Towers", Block – "B", 4th Floor, 21/1A/3, Darga Road, Kolkata – 700 017, West Bengal ("the listed entity");
- (b) the filings/submissions made by the listed entity to the stock exchanges;
- (c) website of the listed entity;
- (d) any other document/filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31<sup>st</sup> March, 2021 ("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, as amended, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosures Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (c) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (d) Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act and dealing with client;

and circulars/ guidelines issued thereunder; and based on above examination, we hereby report that, during the Review Period:



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LABH

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**A. K. LABH**

FCS, ACMA (ICAI), MBA, M.Com., ACSI (Lond)  
 DIM, DHRD, PGHDSM, DIRPM  
 Practising Company Secretary

**A. K. LABH & Co.**

Company Secretaries

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Website : [www.aklabh.com](http://www.aklabh.com)

- (a) The listed entity has compiled with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sl. No	Compliance Requirement (Regulations/ circulars/guidelines including specific clause)	Deviations	Observations/ Remarks of the Practising Company Secretary
1.	SEBI Circular CIR/CFD/CMD/4/2015 dated September 09, 2015 : Continuous Disclosure Requirements for Listed Entities - Regulation 30 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015  Para A, Clause 1.4 : Sale or disposal of unit(s) or division(s) or subsidiary of the listed entity.	Disclosure submitted for disposal of a tea estate of the Company was not strictly in accordance with the format prescribed vide the said Circular.	Disclosure made on 12th March, 2021 to stock exchanges upon signing of an agreement on 12th March, 2021 for sale of Bokakhat Tea Estate of the Company as a going concern was not strictly in accordance with the format prescribed for the purpose in Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.

- (c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sl.No	Action taken by	Details of Violation	Details of Action taken E.g. fines, warning letter, debarment, etc.	Observations/ Remarks of the Practising Company Secretary, if any.
-	-	None	-	-



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## A. K. LABH

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DIM, DHRD, PGHDSM, DIRPM  
Practising Company Secretary



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**Company Secretaries**

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- (d) The listed entity has taken the following actions to comply with the observations made in previous reports:

Sl.No	Observation of practising Company Secretary in the previous reports	Observations made in the Secretarial compliance report for the year ended... (The years are to be mentioned)	Actions taken by the listed entity, in any	Comments of the Practising Company Secretary on the actions taken by the listed entity
-	None	-	-	-

For A. K. LABH & Co.  
**Company Secretaries**

ATUL KUMAR LABH  
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( CS A. K. LABH )

**Practising Company Secretary**

FCS – 4848 / CP No.- 3238

PRCN : 1038/2020

UIN : S1999WB026800

UDIN : F004848C000508802

Place : Kolkata

Date : 24.06.2021

